

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: BEN J. SLOAN and WILLIAM G. REED	Examiner: John K. Ford
Serial No.: 09/923,188	Group Art Unit: 3743
Filed: August 6, 2001	
For: TEMPERATURE CONTROL APPARATUS AND METHOD WITH RECIRCULATED COOLANT	Docket No. FSI0022/US/3

TERMINAL DISCLAIMER

Dear Sir:

Petitioner, FSI International, Inc., a corporation of the State of Minnesota having a place of business at 3455 Lyman Boulevard, Chaska, Minnesota 55318-3052, hereby represents that it is the exclusive owner of the entire interest in the above-identified Application, by virtue of an assignment, attached herewith, which was filed with the United States Patent and Trademark Office on January 21, 1999.

Petitioner hereby disclaims the terminal part of any patent granted on the above-identified Application which would extend beyond the expiration date of the full statutory term as defined in 35 U.S.C. 154 to 156 and 173 of U.S. Patent No. 6,308,776, not shortened by terminal disclaimer. Petitioner hereby agrees that any patent granted on the above-identified Application shall be enforceable only for and during such period that the legal title to such patent and U.S. Patent No. 6,308,776 are commonly owned.

This agreement is to run with any patent granted on the above-identified application and to be binding upon the grantee, its successor, or assigns.

In making the above disclaimer, Petitioner does not disclaim any terminal part of any patent granted on the above-identified Application prior to the expiration date of the full statutory term, not shortened by terminal disclaimer, of U.S. Patent No. 6,308,776, if it: (1) expires for failure to pay a maintenance fee; (2) is held unenforceable or is found invalid by a court of competent jurisdiction; (3) is statutorily disclaimed in whole or terminally disclaimed under 37 C.F.R. 1.321; (4) has all claims canceled by a reexamination certificate; (5) is reissued; or (6) is otherwise terminated prior to the expiration of its full statutory term.

01/16/2003 HRASSEY 00000002
01 FC:1814 110.00 CH

SN 09/923,188

Page 2

Documents establishing the chain of title of the subject patent (including the aforementioned assignment and a recording location) have been reviewed and I certify that, to the best of my knowledge and belief, title is in Petitioner.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made herein on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the above-identified application or any patent issuing thereon.

Dated: 12/20/02By: 

Berno G. Sand
Executive Vice President
FSI International, Inc.

DAB/6255

FORM PTO-1506
(Rev. 5-93)
OMB No. 0651-0011 (exp. 4/94)

RECORDATION FORM COVER SHEET PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Ben J. Sloan
William G. Reed

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: FSI International, Inc.

Internal Address: _____

Street Address: 322 Lake Hazeltine Drive

City: Chaska State: MN ZIP: 55318

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other _____

Execution Date: January 10, 1994

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: January 10, 1994

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: H. Dale Palmatier, Esq.

Internal Address: Palmatier, Sjoquist & Helget
Suite 2000

Street Address: 7900 Xerxes Avenue South

City: Minneapolis State: MN ZIP: 55431

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

16-1437

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

H. Dale Palmatier

Name of Person Signing

Signature

January 21, 1994
Date

Total number of pages including cover sheet, attachments, and document: 3

Recorded with required cover sheet information to:

ASSIGNMENT

WHEREAS, BEN J. SLOAN, a citizen of the United States of America, residing at 7617 Windmill Lane, Garland, Texas 75044; and WILLIAM G. REED, a citizen of the United States, residing at 1402 Seminole Drive, Richardson, Texas 75080, made an invention disclosed and claimed in an application for United States Letters Patent entitled TEMPERATURE CONTROL WITH RECIRCULATED COOLANT and signed of even date herewith.

WHEREAS, FSI INTERNATIONAL, INC., a Minnesota corporation, having a principal place of business at 322 Lake Hazeltine Drive, Chaska, Minnesota 55318, is desirous of acquiring an interest in and to said invention, and in and to the Letters Patent that issues thereon;

NOW, THEREFORE, Be It Known, that for good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the entire right, title and interest of said TEMPERATURE CONTROL WITH RECIRCULATED COOLANT in and to said application for a U. S. Letters Patent, and the invention disclosed and claimed therein, the resultant patent or patents for said invention, and any renewals, reissues, extensions, substitutions, continuations or divisions thereof, continuations-in-part thereof, including all rights to apply for and obtain patents therefor in all foreign countries, is hereby sold, assigned and transferred unto said (assignee) FSI INTERNATIONAL, INC. (Assignors) BEN J. SLOAN and WILLIAM G. REED agrees to sign such papers, testify orally and do other things at the expense of (assignee) FSI INTERNATIONAL, INC. or its successors or assigns as may be reasonably necessary for the purpose of obtaining and enforcing such patents.

The Commissioner of Patents is hereby authorized and requested to issue said Letters Patent in accordance with this assignment.


Signed at Dallas, Texas, this 12th
day of December, 1993.


Assignor

STATE OF Texas)
COUNTY OF Dallas) ss.

Subscribed and sworn to before me this 12th day of
December, 1993.

[SEAL]


Notary Public

My Commission Expires 6-23-97



E. K. BESTERFELDT

- 2 -

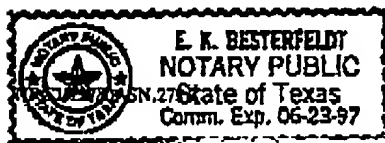
Signed at Dallas Texas, this/ 1st
day of December, 1993.

William L. Reed
Assignor

STATE OF Texas)
COUNTY OF Dallas) ss.

Subscribed and sworn to before me this 1st day of
December, 1993.

[SEAL]



E. K. Besterfeldt
Notary Public
My Commission Expires 6-23-97